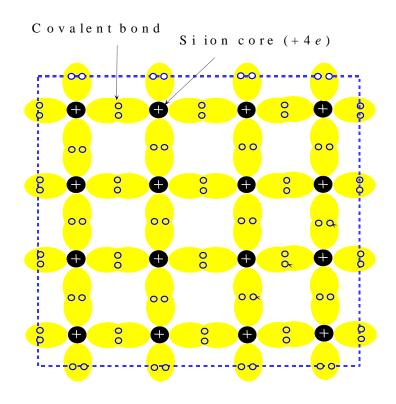
Si lattice structure

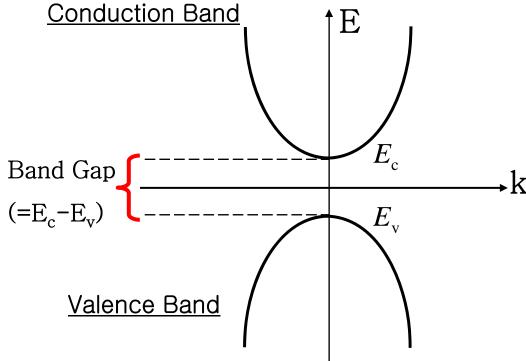


Electron energy levels in semiconductors

Electrons in each Si atom have discrete energy levels.

But in Si crystal, energy bands are formed.

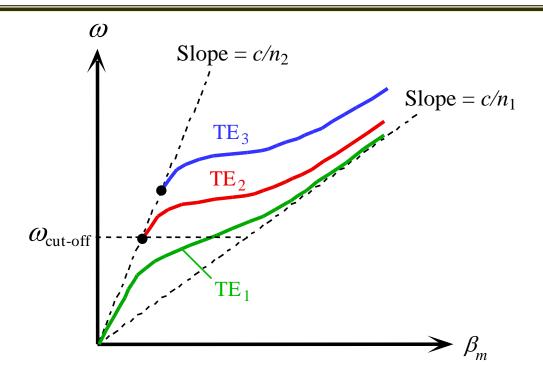
Band diagram



E vs k?

$$E = hv = \frac{h}{2\pi}\omega = h\omega$$

 ω vs k: Fourier transform domain descripton of t vs $\overline{\mathbf{r}}$ Often used for 'wave' characterization

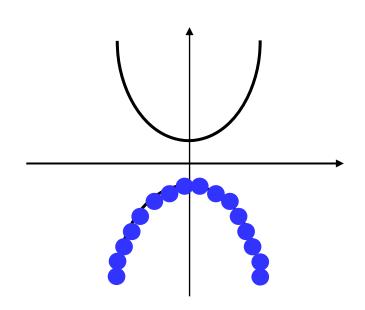


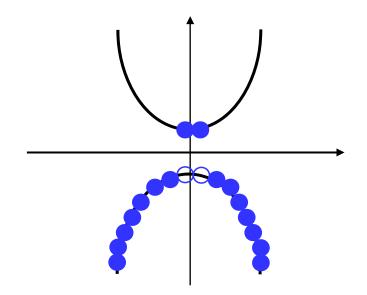
EM waves in a dielectric waveguide

Where are electrons?







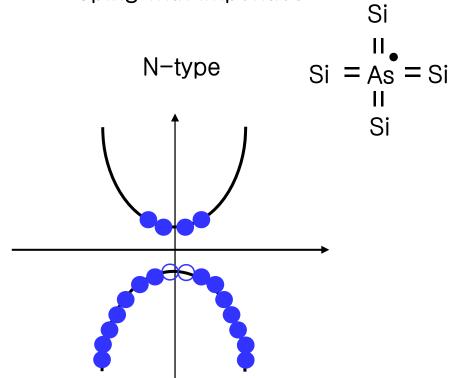


no electrons in conduction band

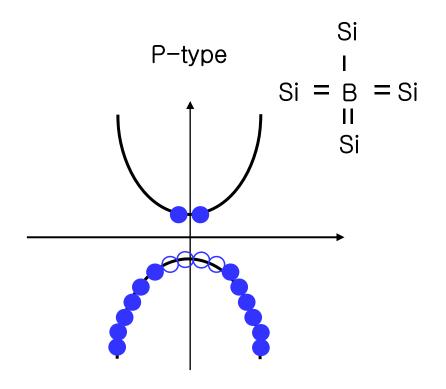
→ many holes in conduction band

same number of electrons in conduction band as holes in valence band

Doping with impurities



More electrons in conduction band than holes in valence band



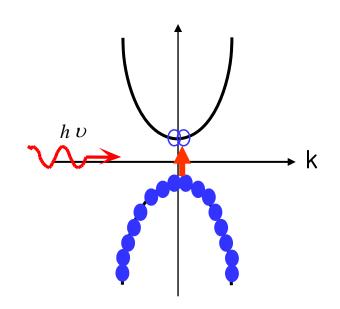
More holes in valence band than electrons in conduction band

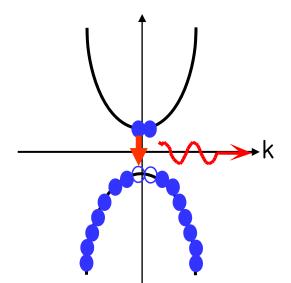
Interaction of light with semiconductor

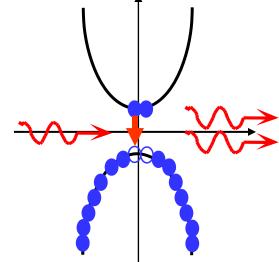
Absorption

Spontaneous Emission

Stimulated Emission







hv > Eg

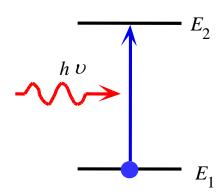
Momentum (k) conservation required for photon emission

Remember

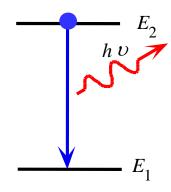
Absorption

Spontaneous Emission

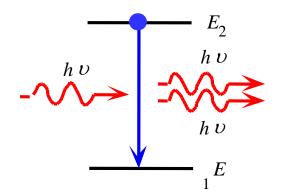
Stimulated Emission



$$\mathbf{R}_{12} = \mathbf{B}_{12} \cdot \mathbf{N}_1 \cdot \mathbf{\rho}$$



$$\mathbf{R}_{\mathrm{sp}} = \mathbf{A}_{21} \cdot \mathbf{N}_2$$



$$\mathbf{R}_{21} = \mathbf{B}_{21} \cdot \mathbf{N}_2 \cdot \mathbf{\rho}$$

 ρ : photon density

 $N_{1,2}$: electron density at $E_{1,2}$

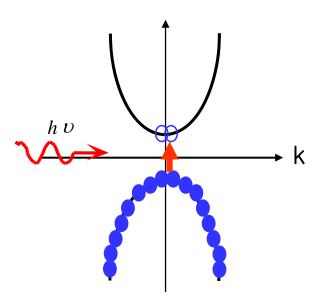
 $B_{12}, B_{\rm sp}, B_{21}$: constants

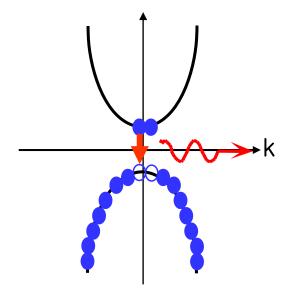
For population inversion, $\frac{N_2}{N_1}$ >

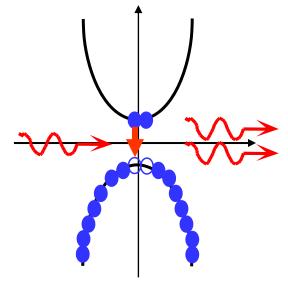
Absorption

Spontaneous Emission

Stimulated Emission







$$R_{12}(hv) = B_{12} \cdot N_1(E_1) \cdot P_2(E_2) \cdot \rho(hv)$$

$$R_{sp}(h\nu) = A_{21} \cdot N_2(E_2) \cdot P_1(E_1)$$

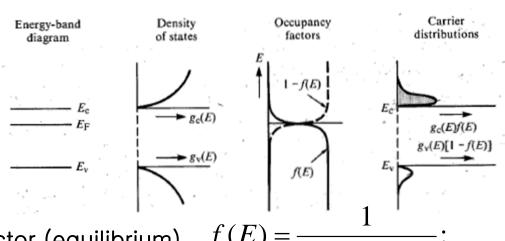
$$R_{12}(hv) = B_{12} \cdot N_1(E_1) \cdot P_2(E_2) \cdot \rho(hv) \qquad R_{sp}(hv) = A_{21} \cdot N_2(E_2) \cdot P_1(E_1) \qquad R_{21}(hv) = B_{21} \cdot N_2(E_2) \cdot P_1(E_1) \cdot \rho(hv)$$

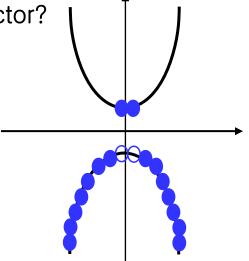
Optical gain for $E_{ph} = E_2 - E_1$ is proportional to $N_2(E_2) \cdot P_1(E_1) - N_1(E_1) \cdot P_2(E_2)$

Population inversion:

$$\frac{N_2(E_2) \cdot P_1(E_1)}{N_1(E_1) \cdot P_2(E_2)} > 1$$

What determines electron/hole concentrations in semiconductor?





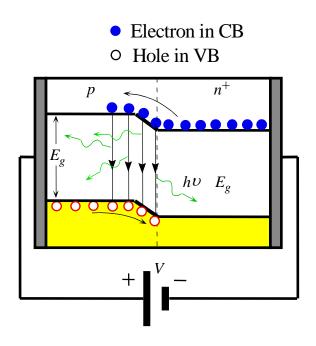
Fermi factor (equilibrium) $f(E) = \frac{1}{1 + e^{(E - E_F)/kT}};$

With carrier pumping (non-equilibrium) $f_n(E) = \frac{1}{1 + e^{(E - E_{F_n})/kT}}, \ f_p(E) = \frac{1}{1 + e^{(E - E_{F_p})/kT}}$ Pumping (non-equilibrium) $f_n(E) = \frac{1}{1 + e^{(E - E_{F_n})/kT}}$

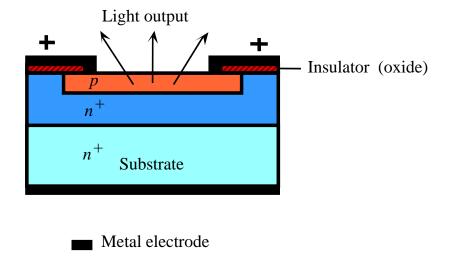
$$N = \int_{E_c}^{\infty} g_c(E) f_n(E) dE \quad P = \int_{-\infty}^{E_v} g_v(E) \left[1 - f_p(E) \right] dE$$

Optical Gain for g($E_{ph} = E_2 - E_1$) $\sim [N_2(E_2) \cdot P_1(E_1) - N_1(E_1) \cdot P_2(E_2)]$ = $g_c(E_2) f_n(E_2) g_v(E_1) [1 - f_p(E_1)] - g_v(E_1) f_n(E_1) g_c(E_2) [1 - f_p(E_2)]$

How to pump electrons and holes into a semiconductor? Forward-bias PN junction



Light emitting diode (LED)

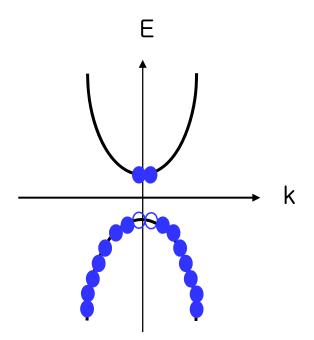


Light emission by spontaneous emission

Does any semiconductor emit light?

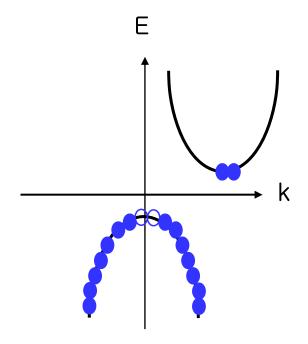
What determines the color of LED?

Direct semiconductor



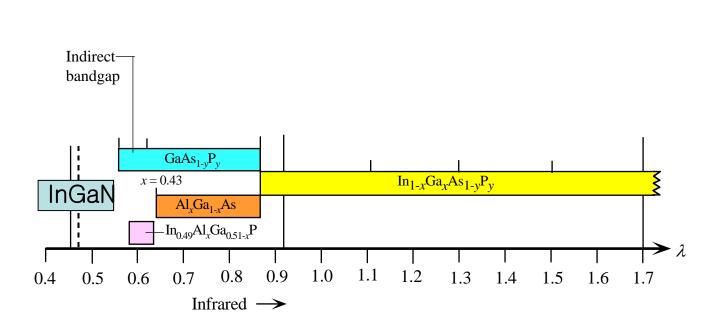
K-selection rule: $k_{photon} = k_i - k_f (k_i \sim k_f)$

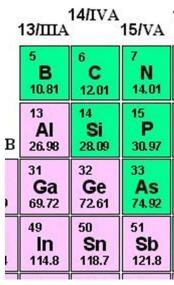
Indirect Semiconductor



Momentum conservation not possible by photon emission => No emission (Example: Si)

Bandgap energies for major LED materials: III-V compound semiconductor





The Nobel Prize in Physics 2014



Photo: Yasuo Nakamura/Meijo University

Isamu Akasaki Prize share: 1/3



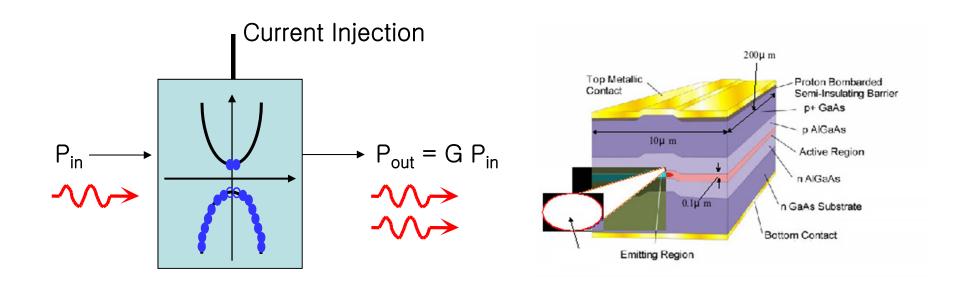
Photo: Nagoya University Hiroshi Amano Prize share: 1/3



Ill. N. Elmehed. © Nobel Media 2014 Shuji Nakamura Prize share: 1/3

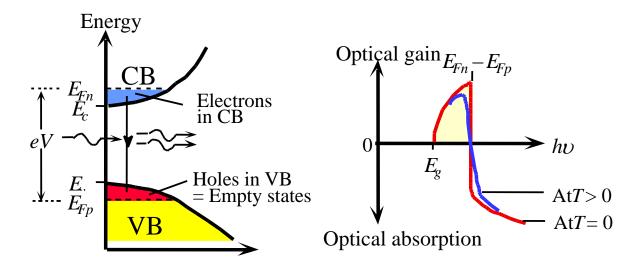
The Nobel Prize in Physics 2014 was awarded jointly to Isamu Akasaki, Hiroshi Amano and Shuji Nakamura "for the invention of efficient blue light-emitting diodes which has enabled bright and energy-saving white light sources".

Current injection into PN Junction can be used for Semiconductor Optical Amplifier



Gain spectrum

$$g(E_2 - E_1) \sim g_c(E_2) f_n(E_2) g_v(E_1) \left[1 - f_p(E_1) \right] - g_v(E_1) f_n(E_1) g_c(E_2) \left[1 - f_p(E_2) \right]$$



- 0 gain for E_2 - E_1 < E_a
- For $E_2-E_1 > E_g$, gain increases until around $hv = E_{Fn}-E_{Fp}$
- Gain < 0 for $h\nu > E_{Fn}-E_{Fp}$
- Sharper transition at lower T

Homework:

Assume the optical gain coefficient in semiconductor is given as $g=a(N-N_0)$ [1/cm], where $a=10^{-17} cm^2$, $N_0=10^{18} cm^{-3}$ for $\lambda=1 \mu m$.

If 0.5cm long SOA is made up of above semiconductor, what is the required carrier density in order to achieve SOA power gain of 20dB for $\lambda = 1 \mu m$ input signal?