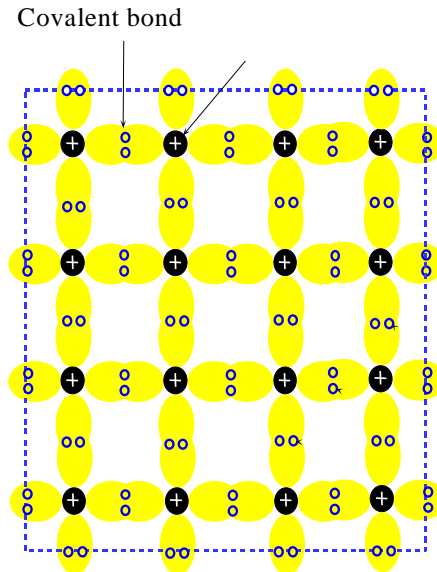


# Lect. 23: Optical Gain in Semiconductor

Electron energy levels in semiconductors?

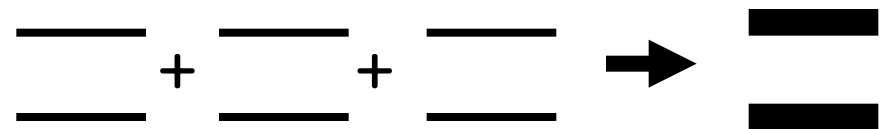
GaAs (III-V Semiconductor)

|   | 13/IIIA           | 14/IVA            | 15/VA             | 16/VIA            | 17/VIIA           |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|
|   | 5<br>B<br>10.81   | 6<br>C<br>12.01   | 7<br>N<br>14.01   | 8<br>O<br>16.00   | 9<br>F<br>18.99   |
| B | 13<br>Al<br>26.98 | 14<br>Si<br>28.09 | 15<br>P<br>30.97  | 16<br>S<br>32.07  | 17<br>Cl<br>35.45 |
|   | 31<br>Ga<br>69.72 | 32<br>Ge<br>72.61 | 33<br>As<br>74.92 | 34<br>Se<br>78.96 | 35<br>Br<br>79.90 |
|   | 49<br>In<br>114.8 | 50<br>Sn<br>118.7 | 51<br>Sb<br>121.8 | 52<br>Te<br>127.6 | 53<br>I<br>126.9  |

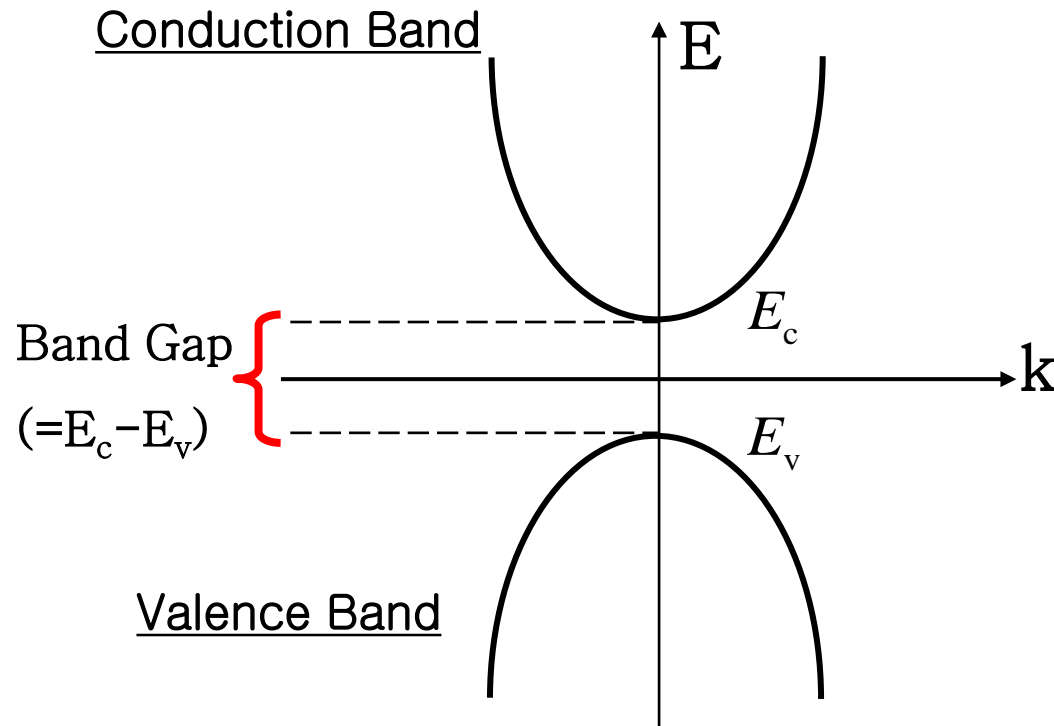


Electrons in each atom have discrete energy levels.

But in a semiconductor crystal, energy bands are formed.



# Lect. 23: Optical Gain in Semiconductor



$E$  vs  $k$  ?

$$E = h\nu = \frac{h}{2\pi} \omega = \hbar\omega$$

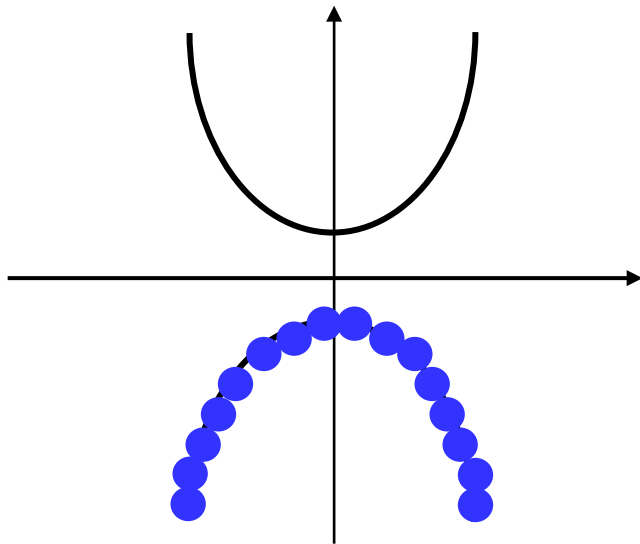
$\omega$  vs  $k$  : Fourier transform domain descripton of  $t$  vs  $\bar{r}$

Often used for representing 'wave' characteristics

# Lect. 23: Optical Gain in Semiconductor

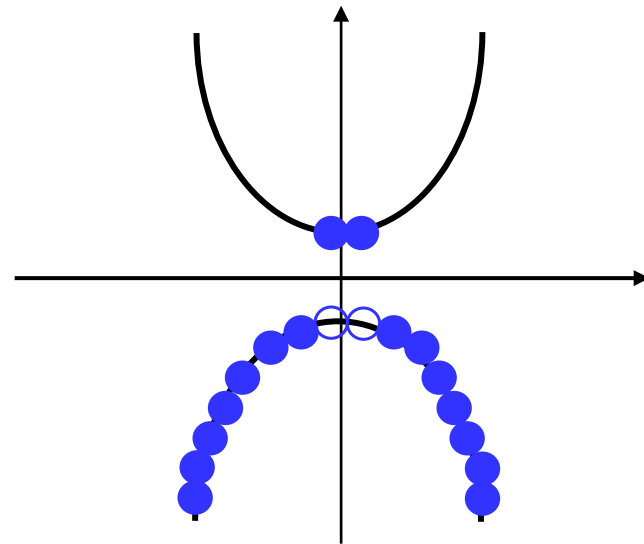
Where are electrons?

$T=0$  K



no electrons in conduction band  
→ many holes in conduction band

$T > 0$  K

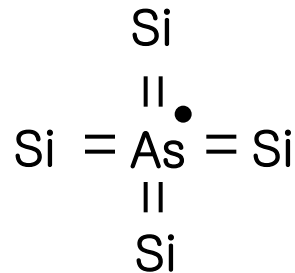
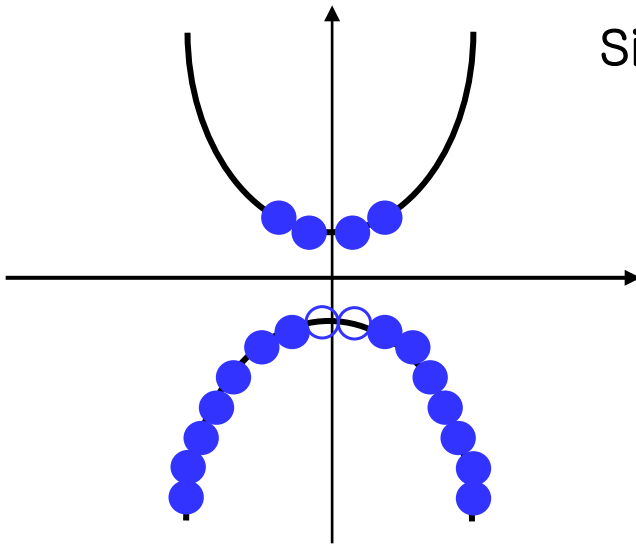


same number of electrons in conduction band  
as holes in valence band

# Lect. 23: Optical Gain in Semiconductor

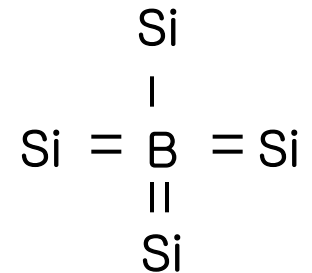
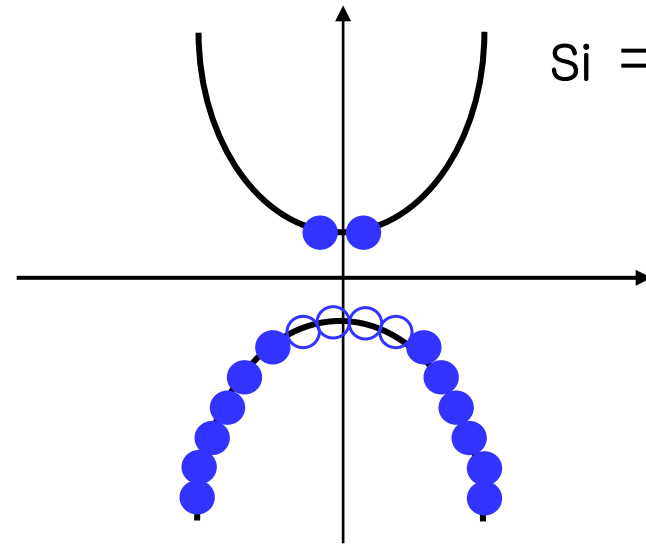
Doping with impurities

N-type



More electrons in conduction band than holes in valence band

P-type



More holes in valence band than electrons in conduction band

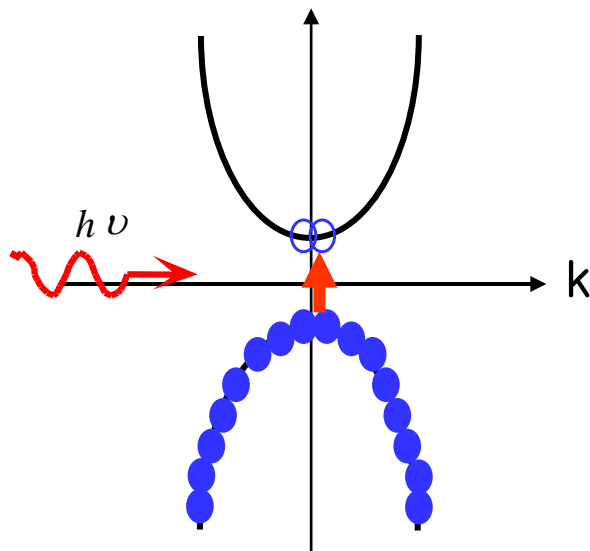
# Lect. 23: Optical Gain in Semiconductor

## Interaction of light with semiconductor

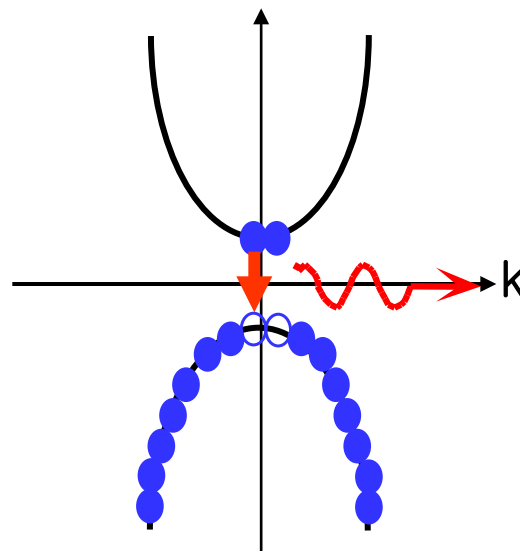
Absorption

Spontaneous Emission

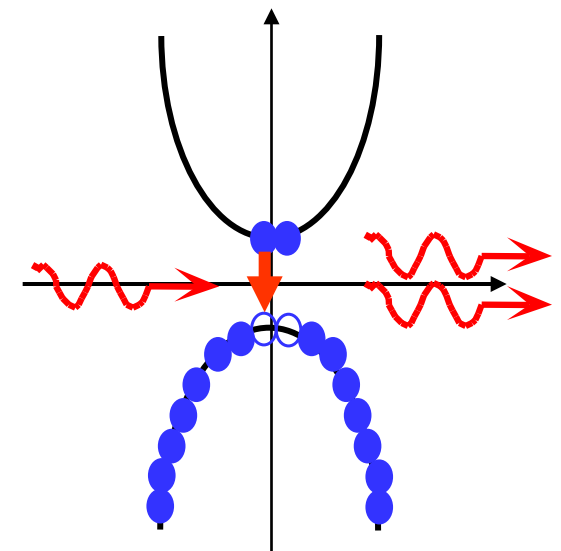
Stimulated Emission



$$h\nu > E_g$$



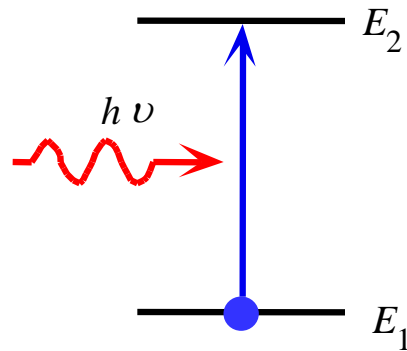
Momentum ( $k$ ) conservation required for photon emission



# Lect. 23: Optical Gain in Semiconductor

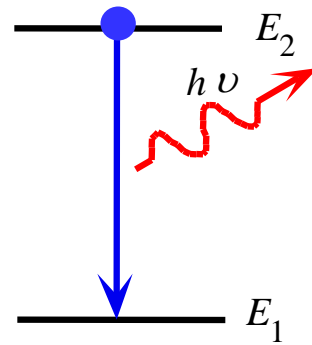
Remember

Absorption



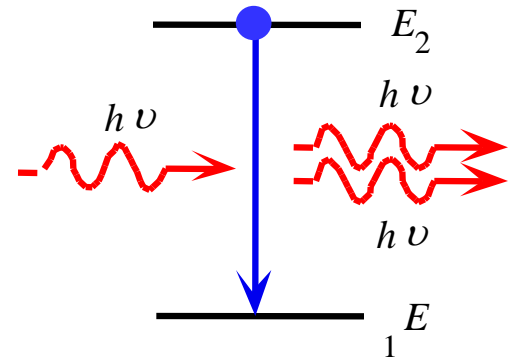
$$R_{12} = B_{12} \cdot N_1 \cdot \rho$$

Spontaneous Emission



$$R_{sp} = A_{21} \cdot N_2$$

Stimulated Emission



$$R_{21} = B_{21} \cdot N_2 \cdot \rho$$

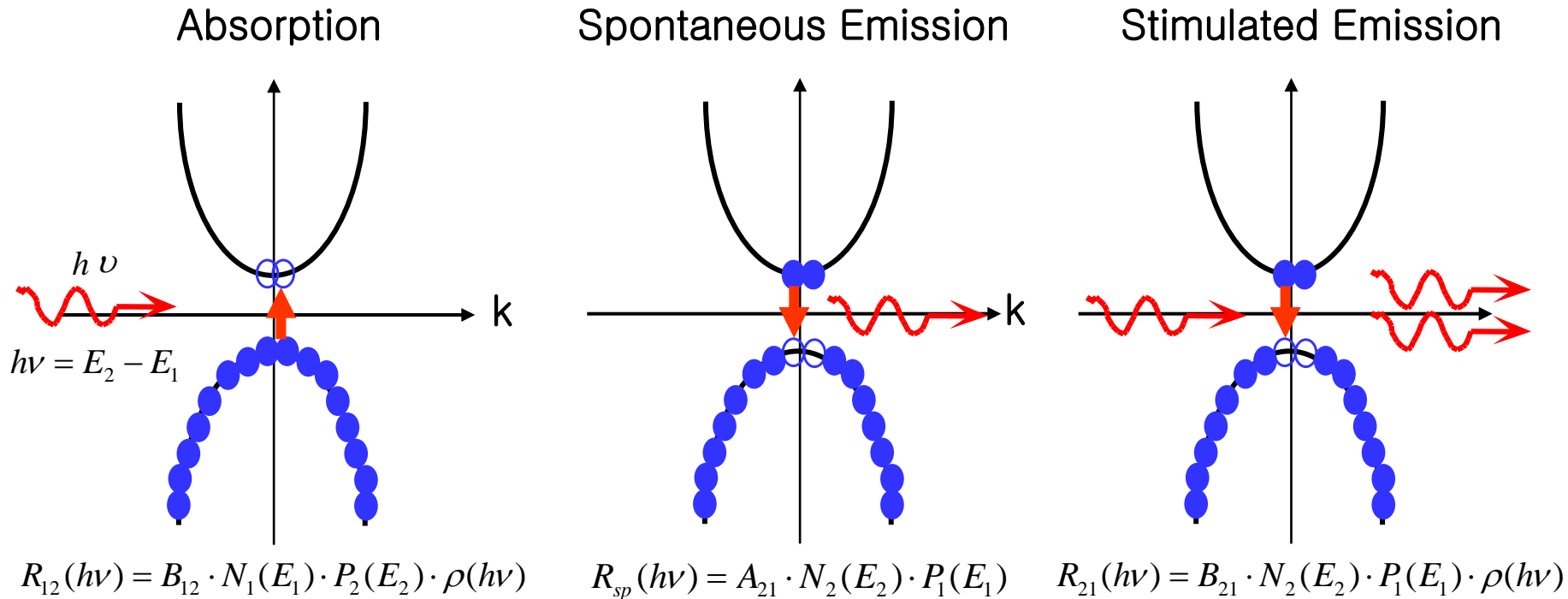
$\rho$ : photon density

$N_{1,2}$ : electron density at  $E_{1,2}$

$B_{12}, B_{sp}, B_{21}$ : constants

For population inversion,  $\frac{N_2}{N_1} > 1$

# Lect. 23: Optical Gain in Semiconductor



Interaction for photons having many different energies are possible

Optical gain for  $E_{ph} = E_2 - E_1$  is proportional to  $N_2(E_2) \cdot P_1(E_1) - N_1(E_1) \cdot P_2(E_2)$

Population inversion:  $\frac{N_2(E_2) \cdot P_1(E_1)}{N_1(E_1) \cdot P_2(E_2)} > 1$

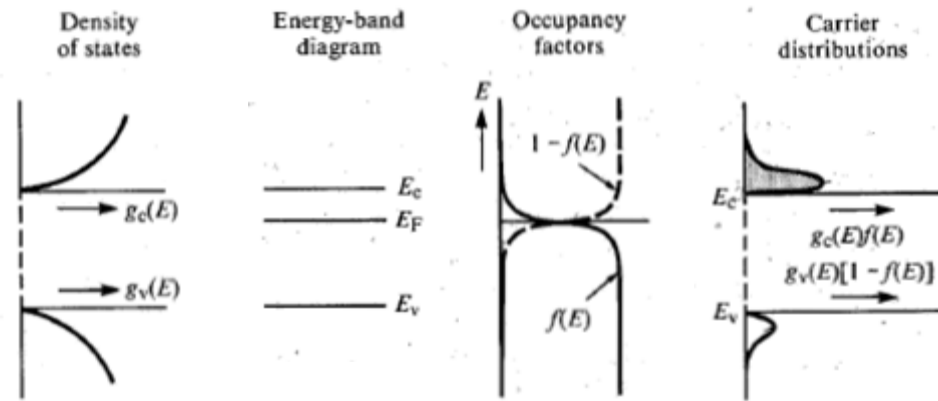
Electron and hole injection (pumping) needed

# Lect. 23: Optical Gain in Semiconductor

What determines electron/hole concentrations in semiconductor?

Density of States (DOS) and Fermi factor

DOS: Number(Density) of states an electron can exist at a given energy. Proportional to sqrt(E).



$$N = \int_{E_c}^{\infty} g_c(E) f(E) dE$$

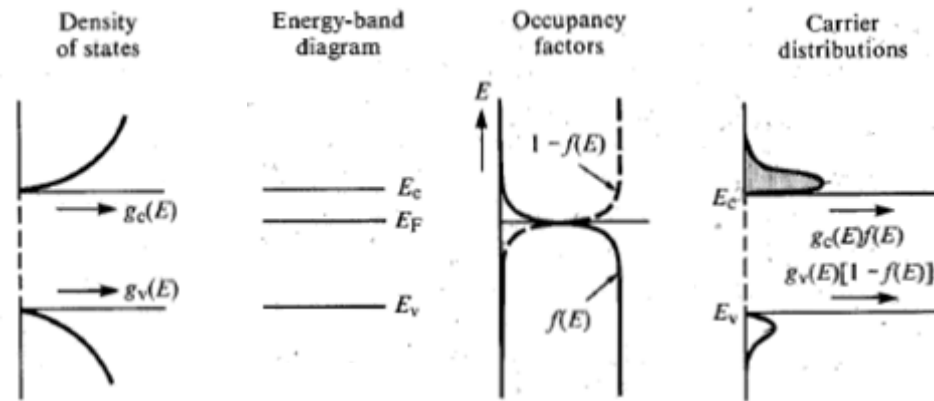
$$P = \int_{-\infty}^{E_v} g_v(E) [1 - f(E)] dE$$

Fermi factor: 
$$f(E) = \frac{1}{1 + e^{(E - E_F)/kT}};$$

Probability for an electron to exist at a given energy level



# Lect. 23: Optical Gain in Semiconductor

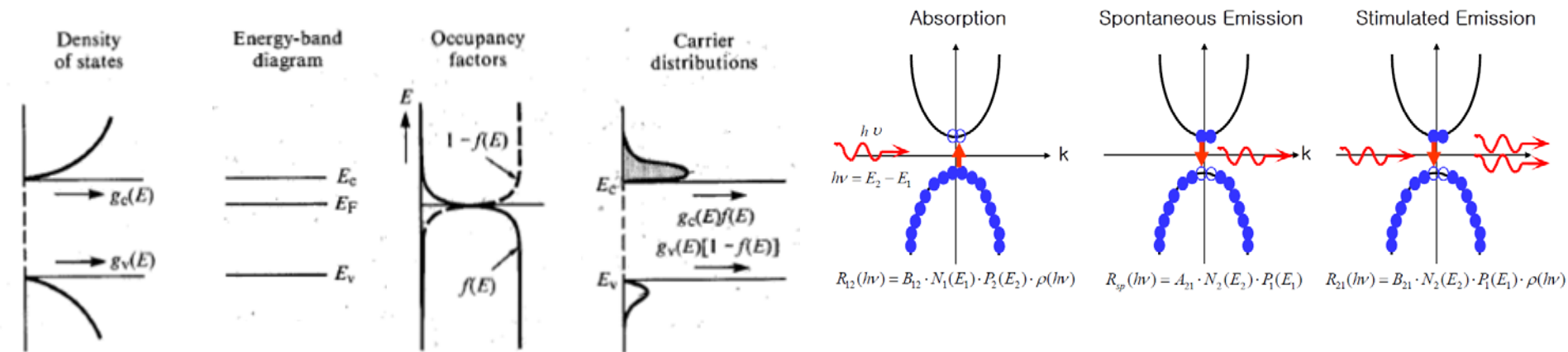


What happens with pumping?

Separate Fermi factors for electrons and holes (Quasi Fermi factor)

$$f_n(E) = \frac{1}{1 + e^{(E - E_{Fn})/kT}}, \quad f_p(E) = \frac{1}{1 + e^{(E - E_{Fp})/kT}}$$

# Lect. 23: Optical Gain in Semiconductor



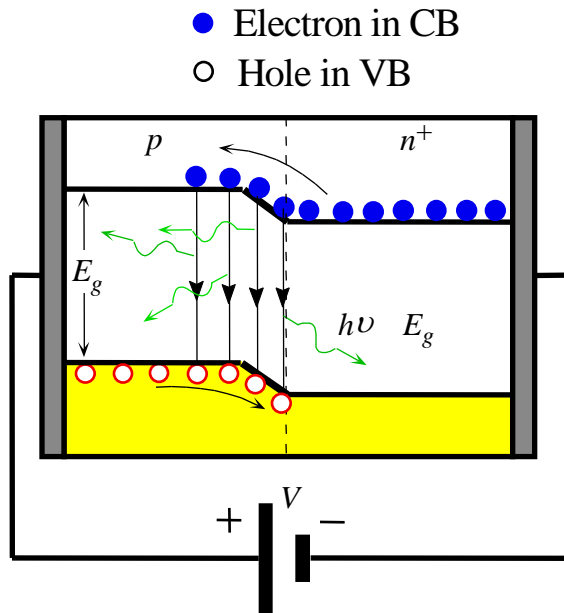
Optical Gain for  $E_{ph} = E_2 - E_1$

$$\begin{aligned}
 g(E_2 - E_1) &\sim [N_2(E_2) \cdot P_1(E_1) - N_1(E_1) \cdot P_2(E_2)] \\
 &= g_c(E_2) f_n(E_2) g_v(E_1) [1 - f_p(E_1)] - g_v(E_1) f_n(E_1) g_c(E_2) [1 - f_p(E_2)]
 \end{aligned}$$

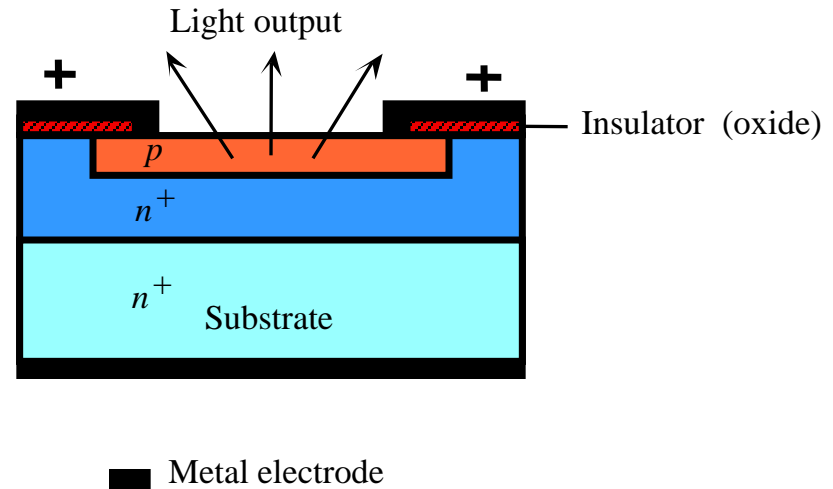
# Lect. 23: Optical Gain in Semiconductor

How to pump electrons and holes into a semiconductor?

Forward-bias PN junction



Light emitting diode (LED)



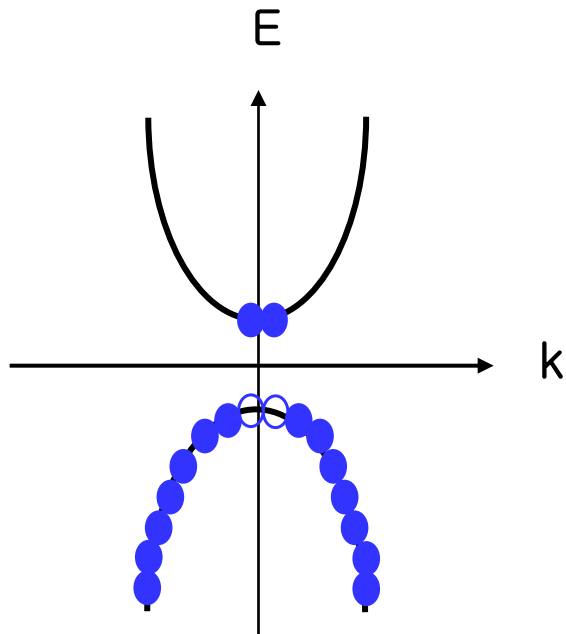
Light emission by spontaneous emission

Does any semiconductor emit light?

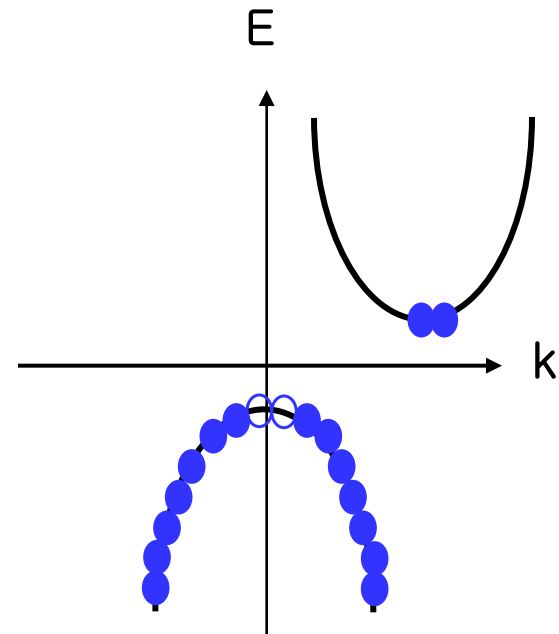
What determines the color of LED?

# Lect. 23: Optical Gain in Semiconductor

Direct semiconductor



Indirect Semiconductor



K-selection rule:

$$k_{\text{photon}} = k_i - k_f \quad (k_i \sim k_f)$$

=> Light emission (Example: GaAs)

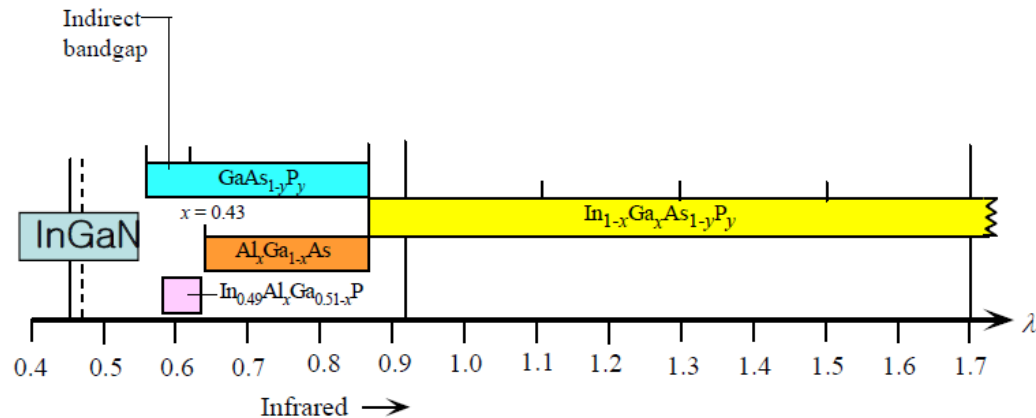
Momentum conservation not possible  
by photon emission

=> No emission (Example: Si)

# Lect. 23: Optical Gain in Semiconductor

Bandgap energies for major LED materials: III–V compound semiconductor

|   | 13/IIIA                  | 14/IVA                   | 15/VA                    |
|---|--------------------------|--------------------------|--------------------------|
|   | 5<br><b>B</b><br>10.81   | 6<br><b>C</b><br>12.01   | 7<br><b>N</b><br>14.01   |
| B | 13<br><b>Al</b><br>26.98 | 14<br><b>Si</b><br>28.09 | 15<br><b>P</b><br>30.97  |
|   | 31<br><b>Ga</b><br>69.72 | 32<br><b>Ge</b><br>72.61 | 33<br><b>As</b><br>74.92 |
|   | 49<br><b>In</b><br>114.8 | 50<br><b>Sn</b><br>118.7 | 51<br><b>Sb</b><br>121.8 |



# Lect. 23: Optical Gain in Semiconductor

## The Nobel Prize in Physics 2014



Photo: Yasuo  
Nakamura/Meijo  
University

**Isamu Akasaki**

Prize share: 1/3



Photo: Nagoya University

**Hiroshi Amano**

Prize share: 1/3



Ill. N. Elmehed. © Nobel  
Media 2014

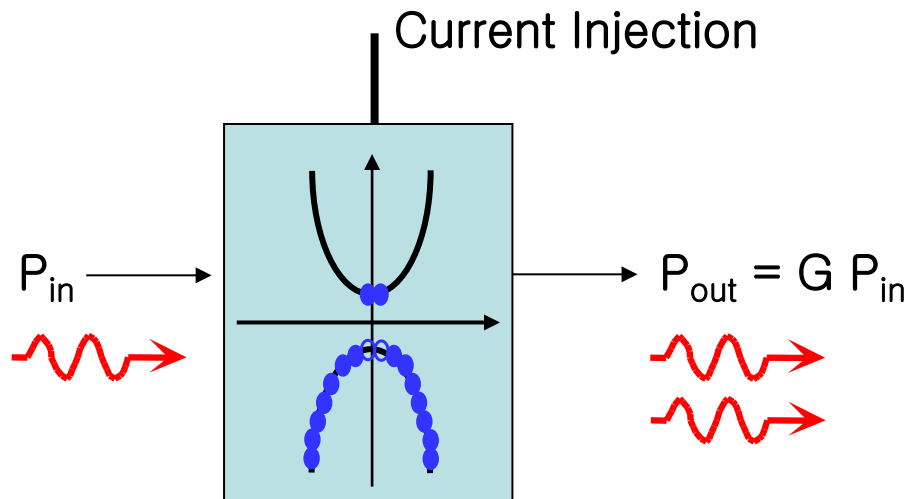
**Shuji Nakamura**

Prize share: 1/3

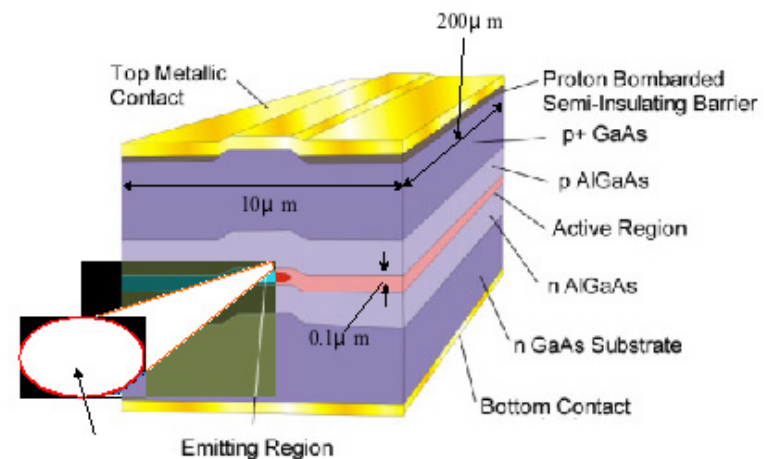
The Nobel Prize in Physics 2014 was awarded jointly to Isamu Akasaki, Hiroshi Amano and Shuji Nakamura *"for the invention of efficient blue light-emitting diodes which has enabled bright and energy-saving white light sources"*.

# Lect. 23: Optical Gain in Semiconductor

Semiconductor Optical Amplifier: Forward-biased PN Junction



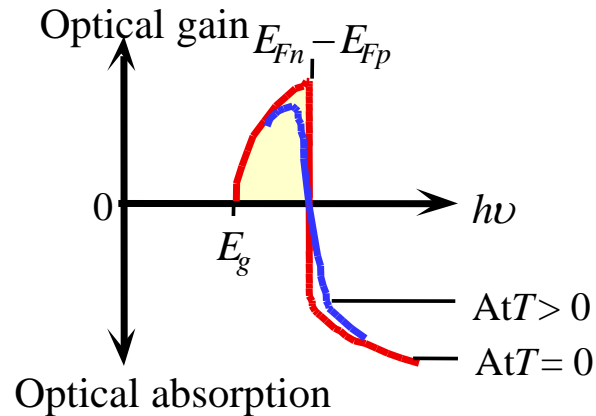
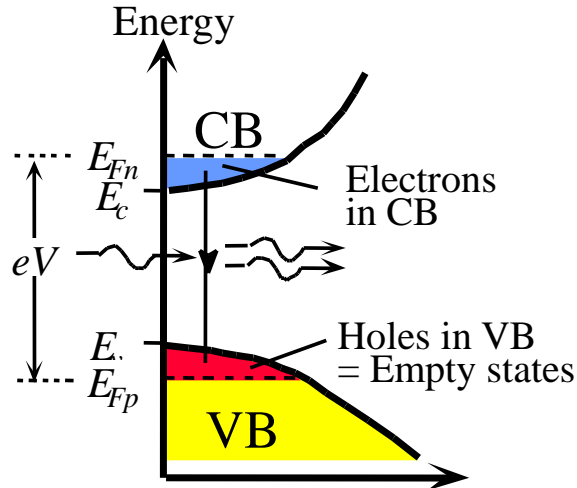
Vertical PN junction with lateral optical input and output



# Lect. 23: Optical Gain in Semiconductor

## Gain spectrum for optical amplifier

$$g(E_2 - E_1) \sim g_c(E_2) f_n(E_2) g_v(E_1) [1 - f_p(E_1)] - g_v(E_1) f_n(E_1) g_c(E_2) [1 - f_p(E_2)]$$



- 0 gain for  $E_2 - E_1 < E_g$
- For  $E_2 - E_1 > E_g$ , gain increases until around  $h\nu = E_{Fn} - E_{Fp}$
- Gain  $< 0$  for  $h\nu > E_{Fn} - E_{Fp}$
- Sharper transition at lower T



# Lect. 23: Optical Gain in Semiconductor

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Homework:

Show that in a semiconductor population inversion is achieved for photons having  $h\nu$  if

$$E_{Fn} - E_{Fp} \geq E_2 - E_1, \text{ where } E_2 - E_1 = h\nu$$